

ASSIGNABILITY OF 3-DIMENSIONAL  
TOTALLY TIGHT MATRICES

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## ASSIGNABILITY OF 3-DIMENSIONAL TOTALLY TIGHT MATRICES

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**Abstract.** A 3-dimensional *totally tight matrix*  $A = (a_{ijk})$  has the property that every  $2 \times 2$  submatrix has a constant line [a row or a column]. We prove that all such matrices are *assignable*, that is it is possible to assign a label to each of the axial planes so that every  $a_{ijk}$  is equal to at least one of the corresponding labels. The result can be easily extended to the case of multi-dimensional matrices.

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A 3-dimensional  $l \times m \times n$  matrix  $A = (a_{ijk})$  has three sets of "axial" planes,  $P_1, P_2, \dots, P_l$ ,  $Q_1, Q_2, \dots, Q_m$ , and  $R_1, R_2, \dots, R_n$ . Such a matrix is called *assignable* if it is possible to assign labels  $p_i$ ,  $q_j$  and  $r_k$  to the axial planes  $P_i$ ,  $Q_j$  and  $R_k$  so that every  $a_{ijk}$  is equal to at least one of  $p_i$ ,  $q_j$  or  $r_k$ . A 3-dimensional *totally tight matrix* has the following *TT property*: every  $2 \times 2$  submatrix has a constant line [a row or a column]. Here a  $2 \times 2$  submatrix is obtained by taking two distinct axial planes from the same set, say  $P_i$  and  $P_j$ , choosing a pair of distinct elements in  $P_i$ , and the corresponding pair of elements in  $P_j$ .

Here is our main result.

**Theorem 1.** *Every 3-dimensional totally tight matrix  $A = (a_{ijk})$  is assignable.*

*Proof.* We say that a plane  $P_r$  *dominates* a plane  $P_s$  by  $x$  (notation  $P_r \rightarrow_x P_s$ ) if, whenever  $a_{rjk} \neq a_{sjk}$ , we have  $a_{rjk} = x$ . Here  $x$  is the *domination parameter*. Similar definitions are applied to the planes  $Q_j$  and  $R_k$ .

**Claim 1.** *A 3-dimensional matrix  $A = (a_{ijk})$  is totally tight matrix if and only if, for every distinct planes  $P_r$  and  $P_s$ , either  $P_r \rightarrow_x P_s$  or  $P_s \rightarrow_x P_r$  for some  $x$ , and similarly for the planes  $Q_j$  and  $R_k$ .*

*Proof.* Straightforward. □

The three binary relations  $\rightarrow_x$  on the sets  $P_i$ ,  $Q_j$  and  $R_k$  determine three digraphs, denoted by  $D_P$ ,  $D_Q$  and  $D_R$ , on the same sets. A *sink* in a digraph is a vertex  $v$  such that, for every other vertex  $u$ , there is an arc  $(u, v)$ . Note that the definition allows arcs out-coming from a sink. We shall distinguish two cases.

**Case 1.** At least one of the three digraphs  $D_P$ ,  $D_Q$  or  $D_R$  has a sink.

Without loss of generality, let  $P_1$  be a sink in the digraph  $D_P$ . The 2-dimensional plane  $P_1$  is assignable, see Boros, Gurvich, Makino, and Papp [1]. We assign labels to all rows and columns of  $P_1$ , and then consider them as labels of all planes  $Q_j$  and  $R_k$ . Now, for every plane  $P_i \neq P_1$ , we have  $P_i \rightarrow_{x_i} P_1$ , since  $P_1$  is a sink. We assign label  $x_i$  to  $P_i$ , thus obtaining an assignment for the matrix  $A$ . Note that the plane  $P_1$  remains unlabeled.

**Case 2.** No one of the three digraphs  $D_P$ ,  $D_Q$  or  $D_R$  has a sink.

The domination relation  $P_r \rightarrow_x P_s$  is called *strict* if  $P_s \rightarrow_y P_r$  does not hold for any  $y$ . We choose labels  $p_i$  and  $q_j$  for all planes  $P_i$  and  $Q_j$  according to the strict domination relation, that is we choose the domination parameters as labels.

**Claim 2.** *For every plane  $R_k$ , all entries that are not satisfied by the labels  $p_i$  and  $q_j$  are the same.*

*Proof.* Suppose that there exists  $R_k$  which contains distinct entries  $u$  and  $v$  that are not satisfied by the labels  $p_i$  and  $q_j$ . We may assume that  $u$  and  $v$  are in the same plane  $P_i$  or  $Q_j$ . Indeed, otherwise the entries  $u$  and  $v$  are opposite corners of a rectangle in  $R_k$ . By the

TT property, at least one of the two other corners must be either  $u$  or  $v$ . Thus, we always can choose  $u$  and  $v$  in the same plane  $P_i$  or  $Q_j$ . Let  $u \in P_1 \cup Q_1$  and  $v \in P_2 \cup Q_1$ .

Since  $p_1 \neq u$ ,  $p_2 \neq v$  and  $u \neq v$ ,  $P_1$  non-strictly dominates  $P_2$  by  $u$ , and  $P_2$  non-strictly dominates  $P_1$  by  $v$ . The plane  $P_1$  strictly dominates some plane  $P_3$  by  $p_1 \neq u$ , therefore

$P_1$	$u$	$p_1$	$\alpha$
$P_2$	$v$	$p_1$	$\alpha$
$P_3$	$u$	$\beta \neq p_1$	$\alpha$

Here  $\alpha$  and  $\beta$  are some strings of entries,  $\beta$  does not contain  $p_1$ , but it contains at least two distinct entries. We may choose an entry  $x \in \beta$  distinct from  $u$ , and obtain the following submatrix

$$\begin{pmatrix} v & p_1 \\ u & x \neq u, p_1 \end{pmatrix}.$$

If  $p_1 \neq v$ , we have a contradiction to the TT property. Thus,  $p_1 = v$ :

$P_1$	$u$	$v$	$\alpha$
$P_2$	$v$	$v$	$\alpha$
$P_3$	$u$	$\beta \neq v$	$\alpha$

Now we see that  $P_2$  strictly dominates  $P_3$  by  $v$ , a contradiction to the fact that  $p_2 \neq v$ .  $\square$

Finally, we state an algorithm that produces an assignment for an arbitrary matrix of Case 2.

**Step 1.** Assign labels  $p_i$  and  $q_j$  to all  $P_i$  and  $Q_j$  according to the strict domination relation.

**Step 2.** Based on Claim 2, assign the non-satisfied constant to every plane  $R_k$ .  $\square$

Finally note that our method is easily extended to  $n$ -dimensional totally tight matrices for all  $n > 3$ .

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## References

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